

urban\_power\_ex  
hibits 1-6.pdf

# EXHIBIT 1



**pennsylvania**  
SECURITIES COMMISSION

10/20/11 8:46 AM

Robert M. Lam, *Acting Chairman*  
Thomas A. Michlovic, *Commissioner*  
Steven D. Irwin, *Commissioner*

Jeanne S. Parsons, *Secretary*  
Michael J. Byrne, *Chief Counsel*  
Scott Lane, *Deputy Chief Counsel*

2011 OCT 24 AM 8:46

SECURITIES DIVISION

October 20, 2011

Massachusetts Securities Division  
One Ashburton Place  
Room 1701  
Boston, MA 02108

RE: Urban Power USA, Inc.  
Mark Maynard  
Docket No. 2011-10-04

To Whom It May Concern:

On October 19, 2011 the Pennsylvania Securities Commission issued the enclosed Cease and Desist Order which names one or more respondents believed to be situated in your state.

As part of the Commission's Enforcement Liaison Program with the States, I am providing a copy of the Cease and Desist Order for your review.

Very truly yours,

Lewis J. Levin, Director  
Division of Enforcement, Litigation & Compliance

**COMMONWEALTH OF PENNSYLVANIA  
BEFORE THE  
PENNSYLVANIA SECURITIES COMMISSION**

**IN THE MATTER OF** :

Urban Power USA, Inc. :  
Mark Maynard :

**ADMINISTRATIVE PROCEEDING**  
Docket No. 2011-10-04

**RESPONDENTS** :

**SUMMARY ORDER TO CEASE AND DESIST**

Based upon a preliminary investigation conducted by the staff of the Pennsylvania Securities Commission (Commission), the Commission has determined that evidence exists to support the following findings and conclusions:

1. Urban Power USA, Inc. (Respondent Urban) was, at all times material herein, an entity with an address at 180 Pleasant Street, Easthampton, Massachusetts 01027. At all times material herein, Respondent Urban was purportedly in the business of manufacturing and selling low wind turbines and was offering for sale common stock (Stock) in Respondent Urban;
2. Mark Maynard (Respondent Maynard) was, at all times material herein, an individual with addresses at 180 Pleasant Street, Easthampton, Massachusetts 01027 and 16 Fairfield Avenue, Easthampton, Massachusetts 01027. At all times material herein, Respondent Maynard was the CBO of Respondent Urban;
3. In or about July 2011, at least one Pennsylvania resident (PA Resident) observed an advertisement (Ad) posted on an Internet message board. The Ad stated that Respondent Urban is offering for sale 10% of its Stock for a total offering of \$500,000. The Ad provided a link to a website at [www.urbanpowerusa.com](http://www.urbanpowerusa.com) (Website);

4. In or about July 2011, the PA Resident observed the Website, which stated that \$50,000 investments in Stock are available for \$5.00 per share. Using a "Contact Us" form provided on the Website, the PA Resident requested additional information about investing in the Stock;
5. In or about July 2011, Respondent Maynard e-mailed the PA Resident and stated:
  - a. Respondent Urban has a "current offering for 10 units [of Stock] of 10,000 shares each, for a total of 100,000 shares of [Stock]";
  - b. "Each unit of 10,000 shares is offered at \$5.00 per share of equity investment for a total of \$50,000 per unit"; and
  - c. "Each unit presents [sic] 1% of the [Stock] authorized";
6. In or about July 2011, Respondent Maynard e-mailed offering materials (Materials) consisting of a business plan to the PA Resident. The Materials state that Respondent Urban is a manufacturing company formed "to commercialize a revolutionary wind turbine invented by...[Respondent] Maynard" and is seeking to raise approximately \$500,000 from private investors for 100,000 shares of Stock to expand operations;
7. In or about July 2011, the PA Resident telephoned Respondent Maynard, who stated:
  - a. Respondent Maynard has invented a wind turbine and has applied for and obtained approval for a patent for the turbine;
  - b. Respondent Maynard has decided to include investors in order to make the company larger;
  - c. Respondent Urban is offering 10 units of Stock at a price of \$50,000 per unit;
  - d. \$50,000 is the minimum investment, but a partnership could be formed for a group to reach \$50,000; and
  - e. Any investments had high possibilities for returns but investors should not expect a return on investment for about five years;

8. In or about September 2011, the PA Resident received additional Materials consisting of a "Stock Purchase Agreement" which states that Respondent Urban has authorized the sale of 5,000 shares of Stock and is selling the Stock for \$5.00 per share;
9. The PA Resident had no substantive, pre-existing relationship with Respondent Urban or Respondent Maynard;
10. The PA Resident was not an accredited investor under Rule 501 of Regulation D, and did not have sufficient knowledge and experience in financial and business matters to be capable of evaluating the merits and risks of the investment; and
11. Neither the Ad nor the Website contains the disclosure set forth in Commission Regulation 203.190, 64 Pa. Code § 203.190.

WHEREAS, the Stock described above is a "security" within the meaning of Section 102(t) of the Pennsylvania Securities Act of 1972 (1972 Act), 70 P.S. § 1-102(t); and

WHEREAS, Respondent Urban is the "issuer" of the Stock described above within the meaning of Section 102(l) of the 1972 Act, 70 P.S. § 1-102(l); and

WHEREAS, Respondent Maynard acted as an "affiliate" of Respondent Urban within the meaning of Section 102(b) of the 1972 Act, 70 P.S. § 1-102(b); and

WHEREAS, the records of the Commission disclose that the Stock is (a) not registered under Section 201 of the 1972 Act, 70 P.S. § 1-201; (b) not exempt from registration under Section 202 of the 1972 Act, 70 P.S. § 1-202; and (c) not a federally covered security; and further, the securities transactions relating to the Stock are not exempt under Section 203 of the 1972 Act, 70 P.S. § 1-203; and

WHEREAS, based on the foregoing, the Commission finds that Respondents Urban and Maynard have engaged in and are about to engage in acts and practices which violate Section 201 of the 1972 Act, 70 P.S. § 1-201; and

WHEREAS, after due deliberation, the Commission finds that it is necessary and appropriate, in the public interest, for the protection of investors and is consistent with the purposes fairly intended by the policy and provisions of the 1972 Act to issue the following Order:

NOW, THEREFORE, by the authority of Section 606(c.1) of the 1972 Act, 70 P.S. § 1-606(c.1):

IT IS ORDERED that Urban Power USA, Inc. and Mark Maynard, and every successor, affiliate, control person, agent, servant, and employee of them, and every entity owned, operated, or indirectly or directly controlled or hereinafter organized by or on behalf of them, shall immediately CEASE AND DESIST from offering and selling the Stock in the Commonwealth of Pennsylvania, in violation of the 1972 Act, and in particular, Section 201 thereof.

Pursuant to Section 607 of the 1972 Act, 70 P.S. §1-607, within thirty (30) days after receipt of written notification that this Order has been issued, any Respondent(s) against whom this Order was issued and entered may file with the Secretary of the Commission a written request for a hearing in respect to any matters determined by the Order. This written request must be filed with the Secretary of the Commission at the Commission's Harrisburg office. Failure to timely file the request for a hearing will result in this Order becoming a final Order.

Upon receipt of such written request for a hearing, the matter will be set down for a hearing to commence within thirty (30) days after receipt unless the person making the request consents to a later date.

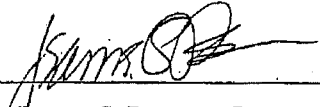
If a person making a request for hearing consents to a later date but fails, after notification by first class mail to the person's last known address in the Commission's files, to consent to a hearing date that is within 180 days of the request, the request for a hearing shall be deemed abandoned and the order shall be deemed a final order.

FAILURE TO COMPLY WITH THIS ORDER MAY RESULT IN CRIMINAL PROSECUTION, INJUNCTIVE PROCEEDINGS, CIVIL CONTEMPT PROCEEDINGS, (INCLUDING CIVIL MONETARY PENALTIES) AND, SUBJECT TO THE RIGHT OF HEARING AFFORDED RESPONDENT UNDER THE 1972 ACT, THE IMPOSITION OF ADMINISTRATIVE MONETARY ASSESSMENTS AND STATUTORY BARS.

So ORDERED this 19th day of October, 2011.



BY ORDER OF THE COMMISSION

  
\_\_\_\_\_  
Jeanne S. Parsons, Secretary

Date Issued & Entered: October 19, 2011

Representation before the Commission shall be in accordance with Sections 31.21, 31.22, 31.23, and 31.24 of the General Rules of Administrative Practice and Procedure, 1 Pa. Code §§ 31.21, 31.22, 31.23, and 31.24. An individual who substantially controls a Respondent may represent the Respondent in an adversary proceeding.

# **EXHIBIT 2**



# Urban Power USA Inc.

HOME PAGE

SPECIALS

ABOUT US

SERVICES

NEWS

PRODUCTS

WHAT IS NET METERING?

CONTACT US

SITEMAP

PRIVATE POWER CO-OP

News

**We have been honored by Scientific American in their December 2010 issue! See the link on our "About Us" page!**

The following is shown as reported in the Daily Hampshire Gazette on Wednesday, February 10, 2010:

## **Urban wind power: Giving new meaning to the windy city**

by [REDACTED] and can be reached at [REDACTED]@gazettenet.com.

EASTHAMPTON - The term "wind power" conjures up images of massive blades on tall shafts out in the ocean, atop a mountain or on a prairie. City resident Mark Maynard's invention could change that. Maynard's vertical-axis wind turbine is designed for urban environments - on the roofs of skyscrapers, factories and even apartment buildings. The turbine is lightweight and silent, can capture smooth or choppy winds, requires little maintenance and has a low profile. Most important in the world of alternative energy production, it's affordable, capable of paying for itself in as little as 30 months.

"I think we have a very good advantage in the marketplace," Maynard said Tuesday, while overseeing the installation of a prototype turbine on the roof of the Paragon Arts and Industry Building on Pleasant Street. "I do believe this can be game-changing." That 2-kilowatt aluminum turbine, which can create enough electricity to power several homes, looks more like an industrial rooftop heating and cooling apparatus than it does any sort of windmill. It would cost about \$7,000, Maynard said.

The prototype turbine will stay on the Paragon Building for about a month, allowing Maynard to measure wind conditions and how much energy the turbine is creating. It is not currently powering the building or attached to the grid. The prototype is 8 feet in diameter and 4 feet tall. A slightly larger 5-kilowatt version is only weeks away from completion, Maynard said. And they can get much bigger from there. A turbine with a 50-foot diameter could produce 100 kilowatts, said Maynard, who has a second patent pending on the design for the larger models.

The turbines could also be stacked atop one another, allowing for efficient use of rooftop space. Maynard is hoping his turbines will change the way that urban dwellers and businesses consume electricity. "This is designed to capture low wind speeds, turbulent wind and wind that comes between buildings," he said. The device would allow its owner to take advantage of net metering - sending electricity to the power grid and pulling it back out as needed, saving money and reducing the user's carbon footprint in the process.

Maynard, who works for the Springfield Water and Sewer Commission, began working on scaled foam models of the turbine four years ago in his living room. He has three grown children and is pursuing a degree in management and engineering at UMass Amherst.

Maynard and his business partners, Michael Garjian and Kimela Webb, are seeking investors to fund the production of the turbines. Maynard, who has dipped into his retirement savings to fund startup costs, said he is speaking to several investors. The three are in the process of incorporating Urban Power USA, which can be found at [www.urbanpowerusa.com](http://www.urbanpowerusa.com). They have also applied for a grant to help the city put turbines on one or more city buildings.

Mayor Michael A. Tautznik looked on Tuesday as a crane installed the turbine. "It's just intriguing," Tautznik said. "It should be exciting for everyone when we consider the kind of power we use as a nation." Tautznik

introduced Maynard to Garjian, an inventor himself, several months ago when he heard about Maynard's project. Garjian has worked in the alternative energy industry since 2000. He founded Vegetable Energy Group LLC, which makes heating pellets, in 2005 and was recently appointed chairman of the board of directors for Sustainable Power Corp., a biofuels provider. Garjian said that he will be using his contacts in the alternative energy world to market and produce Maynard's turbines. "There is nothing like this," Garjian said. "We can go into a city and put these on skyscrapers."

We have also been featured in an article in the Montague Reporter in April 2011 regarding the town of Wendell, MA.

<span id="cdSiteSeal2"><script type="text/javascript" src="//tracedseals.starfieldtech.com/site seal/get?scriptId=cdSiteSeal2&cdSealType=Seal2& amp;sealId=9b443aed5107f3b83571aaab3142149d6c6fc05df91d674d786955deadcd81"></script></span>  
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[build a website](#)

# **EXHIBIT 3**

[REDACTED]

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**From:** MARK MAYNARD [REDACTED@verizon.net]  
**Sent:** Monday, December 26, 2011 8:52 AM  
**To:** [REDACTED]  
**Subject:** Fw: Investment

----- Forwarded Message -----

**From:** [REDACTED] <[REDACTED]@[REDACTED]>  
**To:** [REDACTED]@verizon.net  
**Sent:** Thursday, October 6, 2011 11:11 AM  
**Subject:** Re: Investment

Thank you for your note. I am away on vacation until 10/11 and will be in touch upon my return.  
If you need immediate assistance please contact [REDACTED]: [REDACTED]@[REDACTED]

Thanks, [REDACTED]

[REDACTED]

---

**From:** MARK MAYNARD [REDACTED]@verizon.net]  
**Sent:** Monday, December 26, 2011 8:52 AM  
**To:** [REDACTED]  
**Subject:** Fw: Urban Power Investment

----- Forwarded Message -----

**From:** [REDACTED] [REDACTED]@verizon.net  
**To:** MARK MAYNARD <[REDACTED]@verizon.net>  
**Sent:** Wednesday, August 31, 2011 10:50 AM  
**Subject:** Re: Urban Power Investment

Mark,

Thank you. We are reviewing it now. It will take awhile to process. We will submit questions as needed. For the PPA, what is the return after principal is paid?

Best ~ [REDACTED]

[REDACTED]

office: [REDACTED]  
cell: [REDACTED]

Mail Address:  
[REDACTED]

On Aug 22, 2011, at 3:13 PM, MARK MAYNARD wrote:

[REDACTED]

It was good talking to you today; it's great to meet some of the other business in the building.

We have a current offering for 10 units of 10,000 shares each, for a total of 100,000 shares of Urban Power USA common stock. Each unit of 10,000 shares is offered @ \$5.00 per share of equity investment for a total of \$50,000 per unit. Each unit presents 1% of the total company stock authorized but not all of the stock has been issued. Additional offerings using the reserve stock will give Urban Power USA a means to raise much larger capital for future projects, because I anticipate the stock will have a much higher value at that time.

Attached is a current business plan as of July 2011. Look it over and if you have any question please ask. My cell number is [REDACTED].

I also have investment directly into Power Purchase Agreements or (PPA) I'll also attach these projections. This project is a project which is currently being proposed. The investor puts up 100% of the money for the project along with Urban Power USA's share which is to build the turbines, towers and installation at cost. After construction and the power is sold to customer at an 11% reduction over

the utilizes, the annuity from the future income stream is offered to the bank in exchange for a seven year note to pay off seventy percent of the investment. This is then returned to the investor for reinvestment into another project. For this the investor receives 40 % of the income stream. Urban Power USA will operate the PPA and maintain the turbines. The investor can also take the note and receive the principal and interest payment directly as an additional income stream during the period of time the note is being paid off.

Mark Maynard  
President: Urban Power USA Inc.

<Urban Power USA Business Plan no NDA.doc><Conservative PPA 5KW Tower no Tax credit.xlsx><Optimistic PPA 5KW Tower no tax credit.xlsx>

[REDACTED]

---

**From:** MARK MAYNARD [REDACTED]@verizon.net]  
**Sent:** Monday, December 26, 2011 8:51 AM  
**To:** [REDACTED]  
**Subject:** Fw: Urban Power Investment

----- Forwarded Message -----

**From:** [REDACTED] <[REDACTED]@[REDACTED]>  
**To:** MARK MAYNARD [REDACTED]@verizon.net>  
**Sent:** Friday, September 30, 2011 5:22 PM  
**Subject:** Re: Urban Power Investment

Mark,

My Mom and I would like to meet with you. Are you available Monday afternoon? This is the only time for either us until the following week.

best, [REDACTED]  
[REDACTED]  
[REDACTED]  
[REDACTED]  
[REDACTED]  
[REDACTED]

Mail Address:  
[REDACTED]  
[REDACTED], MA [REDACTED]

On Aug 31, 2011, at 12:21 PM, MARK MAYNARD wrote:

Hi [REDACTED];

The Return on Investment (ROI) after the loans, are listed on the top of the spread sheets (forth line down from the top). This figure depends on how good the wind tower location is. But generally speaking the ROI after the loans will range from 12.8% if the tower is in a poor location to 23% if it is in a good location. As the cost of electricity goes up so will the ROIs because we structure the PPAs to follow 11% less than the current cost of electricity from the customer's electrical supplier at the time of delivery of the power. If the investor picks up the note for the initial investment then the ROI during the loan period will be increased by the interest of the note. Currently banks are offering 7% to 8% interest rate for this loan.

Mark Maynard

---

**From:** [REDACTED] <[REDACTED]@[REDACTED]>  
**To:** MARK MAYNARD [REDACTED]@verizon.net>  
**Sent:** Wed, August 31, 2011 10:50:19 AM  
**Subject:** Re: Urban Power Investment

Mark,

Thank you. We are reviewing it now. It will take awhile to process. We will submit questions as needed. For the PPA, what is the return after principal is paid?

Best ~ [REDACTED]  
[REDACTED]  
[REDACTED]  
[REDACTED], MA [REDACTED]  
office: [REDACTED]  
cell: [REDACTED]

Mail Address:  
[REDACTED]  
[REDACTED], MA 0 [REDACTED]

On Aug 22, 2011, at 3:13 PM, MARK MAYNARD wrote:

[REDACTED]

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Mark Maynard  
President: Urban Power USA Inc.



<Urban Power USA Business Plan no NDA.doc><Conservative PPA 5KW  
Tower no Tax credit.xlsx><Optimistic PPA 5KW Tower no tax credit.xlsx>

[REDACTED]  

---

**From:** MARK MAYNARD [REDACTED@verizon.net]  
**Sent:** Monday, December 26, 2011 8:51 AM  
**To:** [REDACTED]  
**Subject:** Fw: Urban Power Investment

----- Forwarded Message -----

**From:** [REDACTED] [REDACTED@REDACTED]  
**To:** MARK MAYNARD <[REDACTED@verizon.net]>  
**Cc:** [REDACTED] [REDACTED@REDACTED]  
**Sent:** Friday, September 30, 2011 9:29 PM  
**Subject:** Re: Urban Power Investment

How about 4PM?

Mom, [REDACTED], does that work for you?

[REDACTED]  
[REDACTED]  
[REDACTED] MA [REDACTED]  
[REDACTED]  
[REDACTED]  
[REDACTED]

Mail Address:  
[REDACTED]  
[REDACTED], MA [REDACTED]

On Sep 30, 2011, at 8:40 PM, MARK MAYNARD wrote:

Hi [REDACTED],  
Monday afternoon is fine what time?

[REDACTED]

---

**From:** [REDACTED] [REDACTED@REDACTED]  
**To:** MARK MAYNARD <[REDACTED@verizon.net]>  
**Sent:** Friday, September 30, 2011 5:22 PM  
**Subject:** Re: Urban Power Investment

Mark,

My Mom and I would like to meet with you. Are you available Monday afternoon?  
This is the only time for either us until the following week.

best, [REDACTED]  
[REDACTED]  
[REDACTED]  
[REDACTED] MA [REDACTED]  
office: [REDACTED]

[REDACTED]  
[REDACTED]  
Mail Address:

[REDACTED], MA [REDACTED]

On Aug 31, 2011, at 12:21 PM, MARK MAYNARD wrote:

Hi [REDACTED];

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Mark Maynard

---

**From:** [REDACTED]@ [REDACTED]  
**To:** MARK MAYNARD <[REDACTED]@verizon.net>  
**Sent:** Wed, August 31, 2011 10:50:19 AM  
**Subject:** Re: Urban Power Investment

Mark,

Thank you. We are reviewing it now. It will take awhile to process. We will submit questions as needed. For the PPA, what is the return after principal is paid?

Best [REDACTED]  
[REDACTED]  
[REDACTED]  
[REDACTED], MA [REDACTED]  
office: [REDACTED]  
cell: [REDACTED]  
[REDACTED]

Mail Address:  
[REDACTED]  
[REDACTED], MA [REDACTED]

On Aug 22, 2011, at 3:13 PM, MARK MAYNARD wrote:

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Attached is a current business plan as of July 2011. Look it over and if you have any question please ask. My cell number is [REDACTED].

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Mark Maynard  
President: Urban Power USA Inc.

<Urban Power USA Business Plan no NDA.doc><Conservative  
PPA 5KW Tower no Tax credit.xlsx><Optimistic PPA 5KW  
Tower no tax credit.xlsx>

[REDACTED]

---

**From:** MARK MAYNARD [REDACTED]@verizon.net  
**Sent:** Monday, December 26, 2011 8:49 AM  
**To:** [REDACTED]  
**Subject:** Fw: Urban Power Investment

----- Forwarded Message -----

**From:** [REDACTED] <[REDACTED]>  
**To:** [REDACTED] <[REDACTED]>; MARK MAYNARD [REDACTED]@verizon.net>  
**Sent:** Friday, September 30, 2011 10:00 PM  
**Subject:** Re: Urban Power Investment

IF we're talking Monday, that works for me....  
[REDACTED]

----- Original Message -----

**From:** [REDACTED]  
**To:** MARK MAYNARD  
**Cc:** [REDACTED]  
**Sent:** Friday, September 30, 2011 9:29 PM  
**Subject:** Re: Urban Power Investment

How about 4PM?

Mom, [REDACTED] does that work for you?

[REDACTED]  
[REDACTED]  
[REDACTED]  
[REDACTED] MA  
office: [REDACTED]  
cell: [REDACTED]  
[REDACTED]

Mail Address:  
[REDACTED]  
[REDACTED] MA [REDACTED]

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Monday afternoon is fine what time?  
  
Mark

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**To:** MARK MAYNARD <[REDACTED]@verizon.net>  
**Sent:** Friday, September 30, 2011 5:22 PM  
**Subject:** Re: Urban Power Investment

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best,

[Redacted]  
[Redacted]  
[Redacted]  
[Redacted] MA  
office: [Redacted]  
cell: [Redacted]  
[Redacted]

Mail Address:

[Redacted]  
[Redacted] MA [Redacted]

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Mark Maynard

---

**From:** [Redacted] <[Redacted]@[Redacted]>  
**To:** MARK MAYNARD <[Redacted]@verizon.net>  
**Sent:** Wed, August 31, 2011 10:50:19 AM  
**Subject:** Re: Urban Power Investment

Mark,

Thank you. We are reviewing it now. It will take awhile to process. We will submit questions as needed. For the PPA, what is the return after principal is paid?

Best ~ [Redacted]  
[Redacted]  
[Redacted]  
[Redacted] MA  
office: [Redacted]  
cell: [Redacted]

[REDACTED]  
Mail Address:  
[REDACTED]  
[REDACTED], MA [REDACTED]

On Aug 22, 2011, at 3:13 PM, MARK MAYNARD wrote:

[REDACTED]  
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We have a current offering for 10 units of 10,000 shares each, for a total of 100,000 shares of Urban Power USA common stock. Each unit of 10,000 shares is offered @ \$5.00 per share of equity investment for a total of \$50,000 per unit. Each unit presents 1% of the total company stock authorized but not all of the stock has been issued. Additional offerings using the reserve stock will give Urban Power USA a means to raise much larger capital for future projects, because I anticipate the stock will have a much higher value at that time.

Attached is a current business plan as of July 2011. Look it over and if you have any question please ask. My cell number is [REDACTED].

I also have investment directly into Power Purchase Agreements or (PPA) I'll also attach these projections. This project is a project which is currently being proposed. The investor puts up 100% of the money for the project along with Urban Power USA's share which is to build the turbines, towers and installation at cost. After construction and the power is sold to customer at an 11% reduction over the utilizes, the annuity from the future income stream is offered to the bank in exchange for a seven year note to pay off seventy percent of the investment. This is then returned to the investor for reinvestment into another project. For this the investor receives 40 % of the income stream. Urban Power USA will operate the PPA and maintain the turbines. The investor can also take the note and receive the principal and interest payment directly as an additional income stream during the period of time the note is being paid off.

Mark Maynard  
President: Urban Power USA Inc.

<Urban Power USA Business Plan no NDA.doc><Conservative PPA 5KW Tower no Tax credit.xlsx><Optimistic PPA 5KW Tower no tax credit.xlsx>





[REDACTED]

---

**From:** MARK MAYNARD [REDACTED]@verizon.net]  
**Sent:** Monday, December 26, 2011 8:49 AM  
**To:** [REDACTED]  
**Subject:** Fw: Investment

----- Forwarded Message -----

**From:** [REDACTED]@ [REDACTED]  
**To:** MARK MAYNARD <[REDACTED]@verizon.net>; [REDACTED] <[REDACTED]@ [REDACTED]>  
**Sent:** Monday, October 24, 2011 12:52 PM  
**Subject:** Re: Investment

Hi Mark,  
Now I've been slow to respond....  
We want to invest in Urban Power...If [REDACTED] and I do this together, \$25,000 each, can we register the stock in both our names?

And, if I decide to also invest with my daughter does that change the mix at all? Is there something about 'S' corporations and family investing?

Do you have \$100,000 left to invest in at this point?  
Thanks,  
[REDACTED]

----- Original Message -----

**From:** MARK MAYNARD  
**To:** [REDACTED]@ [REDACTED]  
**Sent:** Thursday, October 06, 2011 11:11 AM  
**Subject:** Investment

Hi [REDACTED] and [REDACTED],  
Sorry for the delay with this information but I was more under the weather Monday than I thought. I am just starting to get back on my feet. Attached is the information you requested. With respect to the projections of value of your stock, the projection is based on the business plan and a \$50,000 investment. We have already exceeded this year's projection so I am optimistic we will do better. I have also attached a blank stock purchase agreement for you to look over. If you have any question please feel free to ask.  
Mark

[REDACTED]

**From:** MARK MAYNARD [REDACTED]@verizon.net]  
**Sent:** Monday, December 26, 2011 8:48 AM  
**To:** [REDACTED]  
**Subject:** Fw: Investment

----- Forwarded Message -----

**From:** [REDACTED] <[REDACTED]@[REDACTED]>  
**To:** MARK MAYNARD <[REDACTED]@verizon.net>  
**Cc:** [REDACTED] <[REDACTED]@[REDACTED]>  
**Sent:** Friday, October 28, 2011 12:50 PM  
**Subject:** Re: Investment

Mark,  
So can [REDACTED] and i each fill out a purchase agreement for 5,000 shares each and return with a \$25,000 check? Are you OK with that? The check should be made out to Urban Power USA....?  
what's the best phone # to reach you on??  
Thanks,  
[REDACTED]

----- Original Message -----

**From:** MARK MAYNARD  
**To:** [REDACTED]  
**Sent:** Monday, October 24, 2011 8:22 PM  
**Subject:** Re: Investment

Hi [REDACTED];  
That's great news! Don't worry about the time all of the investor are running slow, it seems to be a product of the economy. Yes we have room for \$100,000. I have reserved room for ten investors in this offering with about twenty remaining for a future offering when the stock is worth more. We have six slots still available in this offering because investors have come in with more than \$50,000. I am not aware of any problem with family investment but I will check it out for you.  
Mark

---

**From:** [REDACTED] <[REDACTED]@[REDACTED]>  
**To:** MARK MAYNARD <[REDACTED]@verizon.net> [REDACTED] <[REDACTED]@[REDACTED]>  
**Sent:** Monday, October 24, 2011 12:52 PM  
**Subject:** Re: Investment

Hi [REDACTED]  
Now I've been slow to respond...  
We want to invest in Urban Power... [REDACTED] and I do this together, \$25,000 each, can we register the stock in both our names?

And, if I decide to also invest with my daughter does that change the mix at all? Is there something about 'S' corporations and family investing?

Do you have \$100,000 left to invest in at this point?  
Thanks,  
[REDACTED]

----- Original Message -----

**From:** MARK MAYNARD

**To:** [REDACTED]@[REDACTED]; [REDACTED]

**Sent:** Thursday, October 06, 2011 11:11 AM

**Subject:** Investment

Hi [REDACTED] and [REDACTED]

Sorry for the delay with this information but I was more under the weather Monday than I thought. I am just starting to get back on my feet. Attached is the information you requested. With respect to the projections of value of your stock, the projection is based on the business plan and a \$50,000 investment. We have already exceeded this year's projection so I am optimistic we will do better. I have also attached a blank stock purchase agreement for you to look over. If you have any question please feel free to ask.

Mark

[REDACTED]

---

**From:** MARK MAYNARD [REDACTED@verizon.net]  
**Sent:** Monday, December 26, 2011 8:48 AM  
**To:** [REDACTED]  
**Subject:** Fw: Investment

----- Forwarded Message -----

**From:** [REDACTED] <[REDACTED]@[REDACTED]>  
**To:** MARK MAYNARD <[REDACTED]@verizon.net>  
**Cc:** [REDACTED] <[REDACTED]@[REDACTED].org>  
**Sent:** Tuesday, November 8, 2011 1:15 PM  
**Subject:** Re: Investment

Hi Mark,  
Congrats on this past weekend...so glad it went well.  
My phone is [REDACTED].  
IF it's agreeable to all, [REDACTED] should have his own recourse and shares in his name...his phone is [REDACTED].  
Keep me advised and let us know when you need payment...  
Warm thanks,  
[REDACTED]

----- Original Message -----

**From:** MARK MAYNARD  
**To:** [REDACTED]  
**Sent:** Monday, November 07, 2011 5:27 PM  
**Subject:** Re: Investment

Good evening [REDACTED]  
The law firm has their power back and we are all set for the stock transaction. I will forward your information on to the lawyers. What phone number would you like me to include? Does [REDACTED] want to correspond separately or would the both of you like to work with the lawyers together?

On another note we had a booth at the Sun and Wind Expo last weekend. Urban Power USA did unbelievably well. We literally have several hundred leads with a large percent of them have already scheduled an appointment with our sale company. We also landed several PPAs in the eastern part of the state.

Mark

---

**From:** s [REDACTED] <[REDACTED]@[REDACTED]>  
**To:** MARK MAYNARD <[REDACTED]@verizon.net>  
**Cc:** [REDACTED] <[REDACTED]@[REDACTED]>  
**Sent:** Friday, October 28, 2011 12:50 PM  
**Subject:** Re: Investment

Mark,  
So can [REDACTED] and i each fill out a purchase agreement for 5,000 shares each and return with a \$25,000 check? Are you OK with that? The check should be made out to Urban Power USA....?  
what's the best phone # to reach you on??  
Thanks,  
[REDACTED]

----- Original Message -----

**From:** MARK MAYNARD

**To:** [REDACTED]

**Sent:** Monday, October 24, 2011 8:22 PM

**Subject:** Re: Investment

Hi [REDACTED];

That's great news! Don't worry about the time all of the investor are running slow, it seems to be a product of the economy. Yes we have room for \$100,000. I have reserved room for ten investors in this offering with about twenty remaining for a future offering when the stock is worth more. We have six slots still available in this offering because investors have come in with more than \$50,000. I am not aware of any problem with family investment but I will check it out for you.

Mark

---

**From:** [REDACTED] <[REDACTED]@[REDACTED]>

**To:** MARK MAYNARD <[REDACTED]@verizon.net>; [REDACTED] <[REDACTED]>; [REDACTED] <[REDACTED]@[REDACTED]>

**Sent:** Monday, October 24, 2011 12:52 PM

**Subject:** Re: Investment

Hi Mark,

Now I've been slow to respond....

We want to invest in Urban Power...If [REDACTED] and I do this together, \$25,000 each, can we register the stock in both our names?

And, if I decide to also invest with my daughter does that change the mix at all? Is there something about 'S' corporations and family investing?

Do you have \$100,000 left to invest in at this point?

Thanks,

[REDACTED]

----- Original Message -----

**From:** MARK MAYNARD

**To:** [REDACTED]; [REDACTED]; [REDACTED]

**Sent:** Thursday, October 06, 2011 11:11 AM

**Subject:** Investment

Hi [REDACTED] and [REDACTED];

Sorry for the delay with this information but I was more under the weather Monday than I thought. I am just starting to get back on my feet. Attached is the information you requested. With respect to the projections of value of your stock, the projection is based on the business plan and a \$50,000 investment. We have already exceeded this year's projection so I am optimistic we will do better. I have also attached a blank stock purchase agreement for you to look over. If you have any question please feel free to ask.

Mark

# Urban Power USA Inc.

- HOME PAGE
- SPECIALS
- ABOUT US
- SERVICES
- NEWS
- PRODUCTS
- WHAT IS NET METERING?
- CONTACT US
- SITEMAP
- PRIVATE POWER CO-OP

Urban Power USA- We have been honored as a winner of the "World Changing Ideas" contest by Scientific American! See link on "About Us" page.

## Urban Power USA, Inc.

is joining the ranks of new green sustainable companies.

We design and build a new patented type of vertical axis wind turbine called the Urban Turbine to be placed on top of existing flat roof structures with reasonable wind.

The Turbine is low cost, lightweight, bird/bat friendly, makes no noise and works well in urban environments.

The Urban Turbine is exceptionally versatile and can be specifically adapted to virtually any environment. The Turbine can be stacked to optimize available roof space.

### Mission Statement

Urban Power USA Inc. is committed to promoting low cost environmentally friendly wind generated electricity to all people.

### Core Values

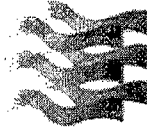
Making a difference is a core value of Urban Power USA Inc. and we have pledged supporting sustainable business practices to achieve this difference.

In particular, Urban Power USA Inc. will contribute a portion of corporate profit to local and regional communities for social reinvestment and share its profits with its employees. We believe growth in corporate wealth is just one part of equity and will strive to promote community social benefit.

<span id="cdSiteSeal2"><script type="text/javascript" src="//tracedseals.starfieldtech.com/siteSeal/get?scriptId=cdSiteSeal2&cdSealType=Seal2& amp;sealId=9b443aed5107f3b83571a9b3142149d8c8fc05df91d674d786955deadcd81"></script></span>  
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**Conservation  
Solutions  
Corporation**

***The Energy  
and Water Efficiency Professionals***

<b>Urban Power Wind Turbines</b>
<b>Chemical-Free Water Treatment</b>
<b>Energy and Water Metering</b>
<b>Water Efficiency</b>
<b>Steam Systems</b>
<b>Valves</b>
<b>Plug Load Efficiency</b>
<b>High-K High Performance Heat Exchangers</b>
<b>Griswold Centrifugal Separation Systems</b>
<b>Contact Us</b>
<b>About Us</b>
<b>News</b>
<b>Employment</b>
<b>Our Clients</b>
<b>Site Map</b>

*About Us*

Since 1993 Conservation Solutions Corporation has provided our customers with creative solutions to energy and water problems in their facilities and buildings. We accomplish the energy and water savings while keeping people comfortable and satisfaction a priority. In industrial facilities we increase efficiency and improve production. We are acknowledged experts in electronic resonance water treatment, water filtration, heat recovery, metering, efficient lighting, plug load efficiency, steam system optimization, heating system efficiency improvements and creative project financing. We have a staff of dedicated experts available to troubleshooting problems and apply a line of proven and tested "state of the art" technologies.

Conservation Solutions Corporation provides reliable energy and water efficiency products and services that have a proven track record of performance -- saving energy, improving building comfort, increasing industrial production and reducing operating costs for our commercial, industrial and institutional customers.

Conservation Solutions Corporation represents highly reliable products backed by long-term guarantees. Comprehensive economic analyses clearly show the financial benefits of our recommendations. Our knowledgeable staff identifies the energy and water system problems and designs the solution. Turn-key solutions are provided with oversight by our expert technicians. Performance verification and financial summaries are part of our follow-up.

*Customer satisfaction is our number one goal.*

**News**

**Technical sales  
opportunity at  
Conservation Solutions  
(more)**

***The Energy  
and Water  
Efficiency  
Professionals***

[REDACTED]

---

**From:** urbanpowerusa@urbanpowerusa.com  
**Sent:** Monday, December 26, 2011 5:30 PM  
**To:** [REDACTED]  
**Subject:** [FWD: RE: Contact Form.Wind turbine investment]  
**Attachments:** Urban Power USA Business Plan no NDA.doc

Mark and [REDACTED],  
Urban Power USA  
[www.urbanpowerusa.com](http://www.urbanpowerusa.com)

Great works are performed not by strength, but by perseverance. -Samuel Johnson

----- Original Message -----  
Subject: RE: Contact Form.Wind turbine investment  
From: <urbanpowerusa@urbanpowerusa.com>  
Date: Thu, July 07, 2011 12:21 pm  
To: "[REDACTED]" <[REDACTED]@yahoo.com>

Hi [REDACTED],

Attached is the business plan. I would be happy to discuss the plan after you have reviewed it. If you are interested in the design particulars of the turbine I will require an NDA to be signed. My cell number is: [REDACTED]

Mark

Mark and [REDACTED]  
Urban Power USA  
[www.urbanpowerusa.com](http://www.urbanpowerusa.com)

Great works are performed not by strength, but by perseverance. -Samuel Johnson

----- Original Message -----  
Subject: Re: Contact Form.Wind turbine investment  
From: [REDACTED] <[REDACTED]@yahoo.com>  
Date: Thu, July 07, 2011 11:41 am  
To: "urbanpowerusa@urbanpowerusa.com"  
<urbanpowerusa@urbanpowerusa.com>

Hi Mark,

We would like to see the business plan that you mentioned. My address is



[REDACTED], [REDACTED], or you can e-mail it to me. Maybe we can talk about it after in case we have questions (which I am sure we will)! Thanks..

---

**From:** "urbanpowerusa@urbanpowerusa.com" <urbanpowerusa@urbanpowerusa.com>  
**To:** [REDACTED]@yahoo.com  
**Sent:** Wednesday, July 6, 2011 4:21 PM  
**Subject:** RE: Contact Form.Wind turbine investment

Good afternoon [REDACTED];

Yes; we have a current offering for 10 units of 10,000 shares each, for a total of 100,000 shares of Urban Power USA common stock. Each unit of 10,000 shares is offered @ \$5.00 per share of equity investment for a total of \$50,000 per unit. Each unit presents 1% of the total company stock authorized but not all of the stock has been issued. If you are interested in investing I would be happy to send you a current business plan.

Mark

Mark and [REDACTED]  
Urban Power USA  
[www.urbanpowerusa.com](http://www.urbanpowerusa.com)

Great works are performed not by strength, but by perseverance. -Samuel Johnson

----- Original Message -----

**Subject:** Contact Form.  
**From:** [REDACTED]  
**Date:** Tue, July 05, 2011 2:30 pm  
**To:** [UrbanpowerUSA@urbanpowerUSA.com](mailto:UrbanpowerUSA@urbanpowerUSA.com)

**First Name :** [REDACTED]  
**Last Name :** [REDACTED]  
**Address Street 1 :** [REDACTED]  
**Address Street 2 :** [REDACTED]  
**City :** [REDACTED]  
**Zip Code :** [REDACTED]  
**State :** [REDACTED]  
**Daytime Phone :** [REDACTED]  
**Evening Phone :** [REDACTED]  
**Email :** [REDACTED]@yahoo.com  
**Comments :** I saw something about \$50k investment at \$5.00 a share. Could you send me information about this? Thanks.

[REDACTED]

---

**From:** MARK MAYNARD [REDACTED]@verizon.net]  
**Sent:** Monday, December 26, 2011 5:18 PM  
**To:** [REDACTED]  
**Subject:** Fw: Urban Power investment

----- Forwarded Message -----

**From:** MARK MAYNARD <[REDACTED]5@verizon.net>  
**To:** [REDACTED]@yahoo.com  
**Cc:** [REDACTED]@[REDACTED]  
**Sent:** Friday, August 19, 2011 11:47 AM  
**Subject:** Urban Power investment

Hi [REDACTED]

I spoke to the lawyers yesterday and they said you and they have been having trouble connecting. So I am here to help in any way I can. I am sorry for not paying more attention to this transaction but I have been very busy with the company. We now have sold several turbines and started our first Power Purchase Agreement (PPA) with a local municipality.

The lawyers need some information to finish the stock transfer.

- Full name of the persons purchasing the stock
- The address of those persons purchasing the stock
- Social security number of those persons purchasing the stock
- The percentage of the 10,000 shares of stock each party will be purchasing

The lawyers need this information to proceed to the next step.

You most likely have some addition information requests so let me know what they are and I will facilitate in getting it to you.

Mark Maynard  
President: Urban Power USA Inc.  
Cell [REDACTED]

[REDACTED]

---

**From:** urbanpowerusa@urbanpowerusa.com  
**Sent:** Monday, December 26, 2011 5:31 PM  
**To:** [REDACTED]  
**Subject:** [FWD: RE: Contact Form.Wind turbine investment]  
**Attachments:** [REDACTED] stock return.xls

Mark and [REDACTED]  
Urban Power USA  
[www.urbanpowerusa.com](http://www.urbanpowerusa.com)

Great works are performed not by strength, but by perseverance. -Samuel Johnson

----- Original Message -----

**Subject:** RE: Contact Form.Wind turbine investment  
**From:** <[urbanpowerusa@urbanpowerusa.com](mailto:urbanpowerusa@urbanpowerusa.com)>  
**Date:** Fri, July 08, 2011 2:32 pm  
**To:** "[REDACTED]" <[\[REDACTED\]@yahoo.com](mailto:[REDACTED]@yahoo.com)>

Hi [REDACTED]

Hopefully I didn't bore you today. No one can ever accuse me of not being excited about this wind turbine project. I have attached a file to help with your understanding of your investment. In the table I use the business plan profit projections and net worth. Assuming we put some reinvestment back into the company each year but as the company gets bigger we put in less of a percentage of that reinvestment. I hope this makes it a little clearer. Feel free to ask any questions you want.

Mark

Mark and [REDACTED]  
Urban Power USA  
[www.urbanpowerusa.com](http://www.urbanpowerusa.com)

Great works are performed not by strength, but by perseverance. -Samuel Johnson

----- Original Message -----

**Subject:** Re: Contact Form.Wind turbine investment  
**From:** [REDACTED] <[\[REDACTED\]@yahoo.com](mailto:[REDACTED]@yahoo.com)>  
**Date:** Thu, July 07, 2011 11:41 am  
**To:** "[urbanpowerusa@urbanpowerusa.com](mailto:urbanpowerusa@urbanpowerusa.com)"

<[urbanpowerusa@urbanpowerusa.com](mailto:urbanpowerusa@urbanpowerusa.com)>

Hi Mark,

We would like to see the business plan that you mentioned. My address is [REDACTED], or you can e-mail it to me. Maybe we can talk about it after in case we have questions (which I am sure we will)! Thanks. [REDACTED]

---

**From:** "[urbanpowerusa@urbanpowerusa.com](mailto:urbanpowerusa@urbanpowerusa.com)" <[urbanpowerusa@urbanpowerusa.com](mailto:urbanpowerusa@urbanpowerusa.com)>  
**To:** [REDACTED]@yahoo.com  
**Sent:** Wednesday, July 6, 2011 4:21 PM  
**Subject:** RE: Contact Form.Wind turbine investment

Good afternoon [REDACTED];

Yes; we have a current offering for 10 units of 10,000 shares each, for a total of 100,000 shares of Urban Power USA common stock. Each unit of 10,000 shares is offered @ \$5.00 per share of equity investment for a total of \$50,000 per unit. Each unit presents 1% of the total company stock authorized but not all of the stock has been issued. If you are interested in investing I would be happy to send you a current business plan.

Mark

Mark and [REDACTED]  
Urban Power USA  
[www.urbanpowerusa.com](http://www.urbanpowerusa.com)

Great works are performed not by strength, but by perseverance. -Samuel Johnson

----- Original Message -----

**Subject:** Contact Form.  
**From:** [REDACTED]  
**Date:** Tue, July 05, 2011 2:30 pm  
**To:** [UrbanpowerUSA@urbanpowerUSA.com](mailto:UrbanpowerUSA@urbanpowerUSA.com)

**First Name :** [REDACTED]  
**Last Name :** [REDACTED]  
**Address Street 1 :** [REDACTED]  
**Address Street 2 :** [REDACTED]  
**City :** [REDACTED]  
**Zip Code :** [REDACTED]  
**State :** [REDACTED]  
**Daytime Phone :** [REDACTED]  
**Evening Phone :** [REDACTED]  
**Email :** [REDACTED]@yahoo.com  
**Comments :** I saw something about \$50k investment at \$5.00 a share. Could you send me information about this? Thanks.



[REDACTED]

---

**From:** urbanpowerusa@urbanpowerusa.com  
**Sent:** Monday, December 26, 2011 5:32 PM  
**To:** [REDACTED]  
**Subject:** [FWD: RE: Contact Form.Wind turbine investment]

Mark and [REDACTED]  
Urban Power USA  
[www.urbanpowerusa.com](http://www.urbanpowerusa.com)

Great works are performed not by strength, but by perseverance. -Samuel Johnson

----- Original Message -----  
Subject: RE: Contact Form.Wind turbine investment  
From: <urbanpowerusa@urbanpowerusa.com>  
Date: Thu, July 14, 2011 9:05 am  
To: "[REDACTED]" <[REDACTED]@yahoo.com>

Good morning [REDACTED]

I am not sure if you would like me to put you in touch with the corporate lawyer to purchase the Urban Power USA stock now or wait until you decide for sure? In your letter you said "you may want to invest". Just let me know if you want to start now or if you would prefer to wait a while.

Mark

Mark and [REDACTED]  
Urban Power USA  
[www.urbanpowerusa.com](http://www.urbanpowerusa.com)

Great works are performed not by strength, but by perseverance. -Samuel Johnson

----- Original Message -----  
Subject: Re: Contact Form.Wind turbine investment  
From: [REDACTED] <[REDACTED]@yahoo.com>  
Date: Mon, July 11, 2011 4:54 pm  
To: "urbanpowerusa@urbanpowerusa.com"  
<urbanpowerusa@urbanpowerusa.com>

Hi Mark, I enjoyed talking to you last week. As you requested, this e-mail is to tell you that we may be interested in making an investment with your

company. If it is still okay we would be combining our investment with my sister and her husband to get the \$50k you said was required to invest. Thanks again, [REDACTED].

---

**From:** "urbanpowerusa@urbanpowerusa.com" <urbanpowerusa@urbanpowerusa.com>  
**To:** [REDACTED] <[REDACTED]@yahoo.com>  
**Sent:** Friday, July 8, 2011 2:32 PM  
**Subject:** RE: Contact Form.Wind turbine investment

Hi [REDACTED]

Hopefully I didn't bore you today. No one can ever accuse me of not being excited about this wind turbine project. I have attached a file to help with your understanding of your investment. In the table I use the business plan profit projections and net worth. Assuming we put some reinvestment back into the company each year but as the company gets bigger we put in less of a percentage of that reinvestment. I hope this makes it a little clearer. Feel free to ask any questions you want.

Mark

Mark and [REDACTED]  
 Urban Power USA  
[www.urbanpowerusa.com](http://www.urbanpowerusa.com)

Great works are performed not by strength, but by perseverance. -Samuel Johnson

----- Original Message -----

**Subject:** Re: Contact Form.Wind turbine investment  
**From:** [REDACTED] <[REDACTED]@yahoo.com>  
**Date:** Thu, July 07, 2011 11:41 am  
**To:** "urbanpowerusa@urbanpowerusa.com"  
 <urbanpowerusa@urbanpowerusa.com>

Hi Mark,

We would like to see the business plan that you mentioned. My address is [REDACTED], or you can e-mail it to me. Maybe we can talk about it after in case we have questions (which I am sure we will)! Thanks.. [REDACTED]

---

**From:** "urbanpowerusa@urbanpowerusa.com" <urbanpowerusa@urbanpowerusa.com>  
**To:** [REDACTED] <[REDACTED]@yahoo.com>  
**Sent:** Wednesday, July 6, 2011 4:21 PM  
**Subject:** RE: Contact Form.Wind turbine investment

Good afternoon [REDACTED]

Yes; we have a current offering for 10 units of 10,000 shares each, for a total of 100,000 shares of Urban Power USA common stock. Each unit of 10,000

shares is offered @ \$5.00 per share of equity investment for a total of \$50,000 per unit. Each unit presents 1% of the total company stock authorized but not all of the stock has been issued. If you are interested in investing I would be happy to send you a current business plan.

Mark

Mark and [REDACTED]  
Urban Power USA  
[www.urbanpowerusa.com](http://www.urbanpowerusa.com)

Great works are performed not by strength, but by perseverance. -Samuel Johnson

----- Original Message -----

Subject: Contact Form.

From: [REDACTED]

Date: Tue, July 05, 2011 2:30 pm

To: [UrbanpowerUSA@urbanpowerUSA.com](mailto:UrbanpowerUSA@urbanpowerUSA.com)

First Name : [REDACTED]

Last Name : [REDACTED]

Address Street 1 : [REDACTED]

Address Street 2 : [REDACTED]

City : [REDACTED]

Zip Code : [REDACTED]

State : [REDACTED]

Daytime Phone : [REDACTED]

Evening Phone :

Email : [REDACTED]@yahoo.com

Comments : I saw something about \$50k investment at \$5.00 a share. Could you send me information about this? Thanks.



[REDACTED]

---

**From:** urbanpowerusa@urbanpowerusa.com  
**Sent:** Monday, December 26, 2011 5:35 PM  
**To:** [REDACTED]  
**Subject:** [FWD: RE: Contact Form.Wind turbine investment]

Mark and [REDACTED]  
Urban Power USA  
[www.urbanpowerusa.com](http://www.urbanpowerusa.com)

Great works are performed not by strength, but by perseverance. -Samuel Johnson

----- Original Message -----

Subject: RE: Contact Form.Wind turbine investment  
From: <[urbanpowerusa@urbanpowerusa.com](mailto:urbanpowerusa@urbanpowerusa.com)>  
Date: Thu, July 14, 2011 11:28 am  
To: [REDACTED] <[REDACTED]@yahoo.com>

Okay I will speak to the lawyer today.

Mark

Mark and [REDACTED]  
Urban Power USA  
[www.urbanpowerusa.com](http://www.urbanpowerusa.com)

Great works are performed not by strength, but by perseverance. -Samuel Johnson

----- Original Message -----

Subject: Re: Contact Form.Wind turbine investment  
From: [REDACTED]@yahoo.com>  
Date: Thu, July 14, 2011 9:16 am  
To: "[urbanpowerusa@urbanpowerusa.com](mailto:urbanpowerusa@urbanpowerusa.com)"  
<[urbanpowerusa@urbanpowerusa.com](mailto:urbanpowerusa@urbanpowerusa.com)>

Hi Mark, We are very interested in moving on to the next step. You mentioned that the lawyer would speak to us about the details of an investment. I would like that. I want to make sure that I can explain everything to my sister and her husband too because we are all a little new at this. Thanks for your time [REDACTED]

---

**From:** "[urbanpowerusa@urbanpowerusa.com](mailto:urbanpowerusa@urbanpowerusa.com)"  
<[urbanpowerusa@urbanpowerusa.com](mailto:urbanpowerusa@urbanpowerusa.com)>  
**To:** [REDACTED]@yahoo.com>  
**Sent:** Thursday, July 14, 2011 9:05 AM

**Subject:** RE: Contact Form.Wind turbine investment

Good morning [REDACTED]

I am not sure if you would like me to put you in touch with the corporate lawyer to purchase the Urban Power USA stock now or wait until you decide for sure? In your letter you said "you may want to invest". Just let me know if you want to start now or if you would prefer to wait a while.

Mark

Mark and [REDACTED]  
Urban Power USA  
[www.urbanpowerusa.com](http://www.urbanpowerusa.com)

Great works are performed not by strength, but by perseverance. -Samuel Johnson

----- Original Message -----

**Subject:** Re: Contact Form.Wind turbine investment  
**From:** [REDACTED]@yahoo.com>  
**Date:** Mon, July 11, 2011 4:54 pm  
**To:** "urbanpowerusa@urbanpowerusa.com"  
<urbanpowerusa@urbanpowerusa.com>

Hi Mark, I enjoyed talking to you last week. As you requested, this e-mail is to tell you that we may be interested in making an investment with your company. If it is still okay we would be combining our investment with my sister and her husband to get the \$50k you said was required to invest. Thanks again, [REDACTED]

---

**From:** "urbanpowerusa@urbanpowerusa.com"  
<urbanpowerusa@urbanpowerusa.com>  
**To:** [REDACTED]@yahoo.com>  
**Sent:** Friday, July 8, 2011 2:32 PM  
**Subject:** RE: Contact Form.Wind turbine investment

Hi [REDACTED]

Hopefully I didn't bore you today. No one can ever accuse me of not being excited about this wind turbine project. I have attached a file to help with your understanding of your investment. In the table I use the business plan profit projections and net worth. Assuming we put some reinvestment back into the company each year but as the company gets bigger we put in less of a percentage of that reinvestment. I hope this makes it a little clearer. Feel free to ask any questions you want.

Mark

Mark and [REDACTED]  
Urban Power USA  
[www.urbanpowerusa.com](http://www.urbanpowerusa.com)

Great works are performed not by strength, but by perseverance. -Samuel Johnson

----- Original Message -----

Subject: Re: Contact Form.Wind turbine investment  
From: [REDACTED]@yahoo.com>  
Date: Thu, July 07, 2011 11:41 am  
To: "urbanpowerusa@urbanpowerusa.com"  
<[urbanpowerusa@urbanpowerusa.com](mailto:urbanpowerusa@urbanpowerusa.com)>

Hi Mark,

We would like to see the business plan that you mentioned. My address is [REDACTED], or you can e-mail it to me. Maybe we can talk about it after in case we have questions (which I am sure we will)! Thanks [REDACTED]

---

**From:** "urbanpowerusa@urbanpowerusa.com"  
<[urbanpowerusa@urbanpowerusa.com](mailto:urbanpowerusa@urbanpowerusa.com)>  
**To:** [REDACTED]@yahoo.com  
**Sent:** Wednesday, July 6, 2011 4:21 PM  
**Subject:** RE: Contact Form.Wind turbine investment

Good afternoon [REDACTED]

Yes; we have a current offering for 10 units of 10,000 shares each, for a total of 100,000 shares of Urban Power USA common stock. Each unit of 10,000 shares is offered @ \$5.00 per share of equity investment for a total of \$50,000 per unit. Each unit presents 1% of the total company stock authorized but not all of the stock has been issued. If you are interested in investing I would be happy to send you a current business plan.

Mark

Mark and [REDACTED]  
Urban Power USA  
[www.urbanpowerusa.com](http://www.urbanpowerusa.com)

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-Samuel Johnson

----- Original Message -----

Subject: Contact Form.

From: [REDACTED]

Date: Tue, July 05, 2011 2:30 pm

To: [UrbanpowerUSA@urbanpowerUSA.com](mailto:UrbanpowerUSA@urbanpowerUSA.com)

First Name : [REDACTED]

Last Name : [REDACTED]

Address Street 1 : [REDACTED]

Address Street 2 : [REDACTED]

City : [REDACTED]

Zip Code : [REDACTED]

State : [REDACTED]

Daytime Phone : [REDACTED]

Evening Phone :

Email : [REDACTED]@yahoo.com

Comments : I saw something about \$50k investment at \$5.00 a share. Could you send me infomration about this? Thanks.

[REDACTED]

---

**From:** urbanpowerusa@urbanpowerusa.com  
**Sent:** Monday, December 26, 2011 5:35 PM  
**To:** [REDACTED]  
**Subject:** [FWD: RE: Contact Form.Wind turbine investment]

Mark and [REDACTED]  
Urban Power USA  
[www.urbanpowerusa.com](http://www.urbanpowerusa.com)

Great works are performed not by strength, but by perseverance. -Samuel Johnson

----- Original Message -----  
Subject: RE: Contact Form.Wind turbine investment  
From: <[urbanpowerusa@urbanpowerusa.com](mailto:urbanpowerusa@urbanpowerusa.com)>  
Date: Wed, August 03, 2011 5:00 pm  
To: [REDACTED]<[\[REDACTED\]@yahoo.com](mailto:[REDACTED]@yahoo.com)>

Hi [REDACTED]

That's fine. [REDACTED] works in [REDACTED]'s office. The main number to the law office is the best way to reach him. [REDACTED]

Mark

Mark and [REDACTED]  
Urban Power USA  
[www.urbanpowerusa.com](http://www.urbanpowerusa.com)

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----- Original Message -----  
Subject: Re: Contact Form.Wind turbine investment  
From: [REDACTED]<[\[REDACTED\]@yahoo.com](mailto:[REDACTED]@yahoo.com)>  
Date: Wed, August 03, 2011 4:17 pm  
To: "[urbanpowerusa@urbanpowerusa.com](mailto:urbanpowerusa@urbanpowerusa.com)"  
<[urbanpowerusa@urbanpowerusa.com](mailto:urbanpowerusa@urbanpowerusa.com)>

Hello Mark. I am sorry to bother you but wanted to let you know that I got a telephone call from an attorney named [REDACTED] who said he was with [REDACTED]. He said that he was calling for you. I tried to call him on the number he left a few times but could not get him. I just wanted you to know so

you didn't think I was avoid this. We are still interested and waiting for the next step.  
Thanks ~ [REDACTED]

---

**From:** "urbanpowerusa@urbanpowerusa.com" <urbanpowerusa@urbanpowerusa.com>  
**To:** [REDACTED]@yahoo.com>  
**Sent:** Thursday, July 14, 2011 11:28 AM  
**Subject:** RE: Contact Form.Wind turbine investment

Okay I will speak to the lawyer today.

Mark

Mark and [REDACTED]  
Urban Power USA  
[www.urbanpowerusa.com](http://www.urbanpowerusa.com)

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**Subject:** Re: Contact Form.Wind turbine investment  
**From:** [REDACTED]@yahoo.com>  
**Date:** Thu, July 14, 2011 9:16 am  
**To:** "urbanpowerusa@urbanpowerusa.com"  
<urbanpowerusa@urbanpowerusa.com>

Hi Mark, We are very interested in moving on to the next step. You mentioned that the lawyer would speak to us about the details of an investment. I would like that. I want to make sure that I can explain everything to my sister and her husband too because we are all a little new at this. Thanks for your time. [REDACTED]

---

**From:** "urbanpowerusa@urbanpowerusa.com"  
<urbanpowerusa@urbanpowerusa.com>  
**To:** [REDACTED]@yahoo.com>  
**Sent:** Thursday, July 14, 2011 9:05 AM  
**Subject:** RE: Contact Form.Wind turbine investment

Good morning [REDACTED],

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----- Original Message -----

Subject: Re: Contact Form.Wind turbine investment  
From: [REDACTED]@yahoo.com>  
Date: Mon, July 11, 2011 4:54 pm  
To: "[urbanpowerusa@urbanpowerusa.com](mailto:urbanpowerusa@urbanpowerusa.com)"  
<[urbanpowerusa@urbanpowerusa.com](mailto:urbanpowerusa@urbanpowerusa.com)>

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<[urbanpowerusa@urbanpowerusa.com](mailto:urbanpowerusa@urbanpowerusa.com)>  
To: [REDACTED]@yahoo.com>  
Sent: Friday, July 8, 2011 2:32 PM  
Subject: RE: Contact Form.Wind turbine investment

Hi [REDACTED]

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Mark

Mark and [REDACTED]  
Urban Power USA  
[www.urbanpowerusa.com](http://www.urbanpowerusa.com)

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-Samuel Johnson

----- Original Message -----

Subject: Re: Contact Form.Wind turbine investment

From: [REDACTED]@yahoo.com>  
Date: Thu, July 07, 2011 11:41 am  
To: "urbanpowerusa@urbanpowerusa.com"  
<urbanpowerusa@urbanpowerusa.com>

Hi Mark,

We would like to see the business plan that you mentioned. My address is [REDACTED], or you can e-mail it to me. Maybe we can talk about it after in case we have questions (which I am sure we will)! Thanks.. [REDACTED]

---

From: "urbanpowerusa@urbanpowerusa.com"  
<urbanpowerusa@urbanpowerusa.com>  
To: [REDACTED]@yahoo.com  
Sent: Wednesday, July 6, 2011 4:21 PM  
Subject: RE: Contact Form.Wind turbine investment

Good afternoon [REDACTED],

Yes; we have a current offering for 10 units of 10,000 shares each, for a total of 100,000 shares of Urban Power USA common stock. Each unit of 10,000 shares is offered @ \$5.00 per share of equity investment for a total of \$50,000 per unit. Each unit presents 1% of the total company stock authorized but not all of the stock has been issued. If you are interested in investing I would be happy to send you a current business plan.

Mark

Mark and [REDACTED]  
Urban Power USA  
[www.urbanpowerusa.com](http://www.urbanpowerusa.com)

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----- Original Message -----  
Subject: Contact Form.  
From: [REDACTED]  
Date: Tue, July 05, 2011 2:30 pm  
To: [UrbanpowerUSA@urbanpowerUSA.com](mailto:UrbanpowerUSA@urbanpowerUSA.com)  
  
First Name : [REDACTED]  
Last Name : [REDACTED]  
Address Street 1 : [REDACTED]  
Address Street 2 : [REDACTED]  
City : [REDACTED]



Zip Code : [REDACTED]

State : [REDACTED]

Daytime Phone : [REDACTED]

Evening Phone :

Email : [REDACTED]@yahoo.com

Comments : I saw something about \$50k investment at \$5.00 a share. Could you send me information about this? Thanks.

[REDACTED]

---

**From:** urbanpowerusa@urbanpowerusa.com  
**Sent:** Monday, December 26, 2011 5:48 PM  
**To:** [REDACTED]  
**Subject:** [FWD: Re: Contact Form.Wind turbine investment]

Mark and [REDACTED]  
Urban Power USA  
[www.urbanpowerusa.com](http://www.urbanpowerusa.com)

Great works are performed not by strength, but by perseverance. -Samuel Johnson

----- Original Message -----

**Subject:** Re: Contact Form.Wind turbine investment  
**From:** [REDACTED]@yahoo.com>  
**Date:** Thu, July 07, 2011 11:41 am  
**To:** "urbanpowerusa@urbanpowerusa.com"  
<urbanpowerusa@urbanpowerusa.com>

Hi Mark,

We would like to see the business plan that you mentioned. My address is [REDACTED] or you can e-mail it to me. Maybe we can talk about it after in case we have questions (which I am sure we will)! Thanks. [REDACTED]

---

**From:** "urbanpowerusa@urbanpowerusa.com" <urbanpowerusa@urbanpowerusa.com>  
**To:** [REDACTED]@yahoo.com  
**Sent:** Wednesday, July 6, 2011 4:21 PM  
**Subject:** RE: Contact Form.Wind turbine investment

Good afternoon [REDACTED]

Yes; we have a current offering for 10 units of 10,000 shares each, for a total of 100,000 shares of Urban Power USA common stock. Each unit of 10,000 shares is offered @ \$5.00 per share of equity investment for a total of \$50,000 per unit. Each unit presents 1% of the total company stock authorized but not all of the stock has been issued. If you are interested in investing I would be happy to send you a current business plan.

Mark

Mark and [REDACTED]  
Urban Power USA  
[www.urbanpowerusa.com](http://www.urbanpowerusa.com)

Great works are performed not by strength, but by perseverance. -Samuel Johnson

----- Original Message -----

Subject: Contact Form.

From: [REDACTED]

Date: Tue, July 05, 2011 2:30 pm

To: [UrbanpowerUSA@urbanpowerUSA.com](mailto:UrbanpowerUSA@urbanpowerUSA.com)

First Name [REDACTED]

Last Name : [REDACTED]

Address Street 1 : [REDACTED]

Address Street 2 : [REDACTED]

City : [REDACTED]

Zip Code : [REDACTED]

State : [REDACTED]

Daytime Phone : [REDACTED]

Evening Phone :

Email : [REDACTED]@yahoo.com

Comments : I saw something about \$50k investment at \$5.00 a share. Could you send me information about this? Thanks.

[REDACTED]

---

**From:** urbanpowerusa@urbanpowerusa.com  
**Sent:** Monday, December 26, 2011 5:51 PM  
**To:** [REDACTED]  
**Subject:** [FWD: Contact Form.]

Mark and [REDACTED]  
Urban Power USA  
[www.urbanpowerusa.com](http://www.urbanpowerusa.com)

Great works are performed not by strength, but by perseverance. -Samuel Johnson

----- Original Message -----

**Subject:** Contact Form.  
**From:** [REDACTED]  
**Date:** Tue, July 05, 2011 2:28 pm  
**To:** [UrbanpowerUSA@urbanpowerUSA.com](mailto:UrbanpowerUSA@urbanpowerUSA.com)

**First Name :** [REDACTED]  
**Last Name :** [REDACTED]  
**Address Street 1 :** [REDACTED]  
**Address Street 2 :** [REDACTED]  
**City :**  
**Zip Code :**  
**State :** [REDACTED]  
**Daytime Phone :**  
**Evening Phone :**  
**Email :**  
**Comments :** Enter comments here!

[REDACTED]

**From:** MARK MAYNARD [REDACTED]@verizon.net]

**Sent:** Tuesday, December 27, 2011 11:06 AM

**To:** [REDACTED]

**Subject:** info

Neil here is the current info at first glance. I need to look at all of your deposits to make sure I have accounted all of your contributions.

[REDACTED] and I @ 340,000 Shares each

You @ 38,400 + Shares

[REDACTED] 10,000 Shares

[REDACTED]  
[REDACTED] MA [REDACTED]  
[REDACTED]

[REDACTED] 2,000 Shares [REDACTED]

[REDACTED]  
[REDACTED]  
[REDACTED]

[REDACTED] 1,000 Shares

[REDACTED]  
[REDACTED] MA [REDACTED]  
[REDACTED]

[REDACTED] 1,000 Shares

[REDACTED]  
[REDACTED] MA [REDACTED]  
[REDACTED]

# **EXHIBIT 4**

# Urban Power USA Inc.

HOME PAGE

SPECIALS

ABOUT US

SERVICES

NEWS

PRODUCTS

WHAT IS NET METERING?

CONTACT US

SITEMAP

PRIVATE POWER CO-OP

## Contact Us

**Contact Information**  
 Please complete the fields below, fill in comments so we can appropriately respond to your inquiry within three to five business days..

First Name:

Last Name:

Address Street 1:

Address Street 2:

City:

Zip Code:  (5 digits)

State:

Daytime Phone:

Evening Phone:

Email:

Comments:

Thank you! We appreciate you taking the time to contact us!

<span id="cdSiteSeal2"><script type="text/javascript" src="//tracedseals.starfieldtech.com/sitesead/get?scriptid=cdSiteSeal2&cdSealType=Seal2&sealid=9b443aed510713b83571aaab3142149d6c6fc05df91d674d786955deadcd81"></script></span>  
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[build a website](#)

# **EXHIBIT 5**



# Pioneer Valley Local First

## Local Business Search

Type here to discover great local products and businesses:

Search

**About PV Local First**

**Our Members**

**Shift Your Shopping**

**Economic Studies**

**Become a Member**

**Bank Locally**

**Find A Local Bank/Credit Union**

**Community Partners**

**Why Buy Local?**

**Buy Local, Buy Fair**

**Our Local Blog**

**Calendar of Events**

**Photo Gallery**

**Links**

**News**

**Link to Us**

**Contact Us**

Donate

Español

**2007 Think Local First Contest**

## Navigation

Audio  
Videos

## Urban Power USA



Home

We design and build a new patented type of vertical axis wind turbine called the Urban Turbine to be placed on top of existing flat roof structures with reasonable wind. Our wind turbines allow you to produce electricity in lower wind speeds and in areas that are not considered viable for typical wind generators.

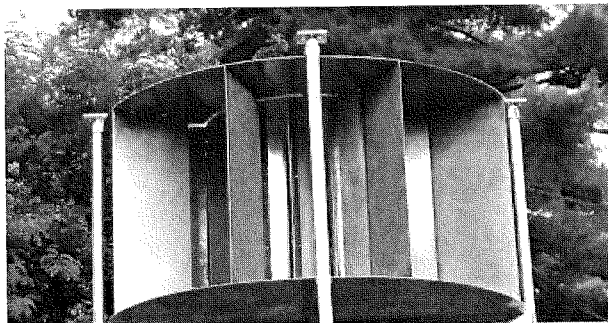
Our turbine is low cost, lightweight, bird/bat friendly, makes no noise and works well in urban environments. The Urban Turbine is exceptionally versatile and can be specifically adapted to virtually any environment. The Turbine can be stacked to optimize available roof space and increase the amount of electricity produced. By selling the renewable energy credits, it is very likely that our turbine would pay for itself in 18 months to two years.

While our wind turbines are manufactured right here in Western Massachusetts in the city of Easthampton, they can work anywhere.

### Mission Statement

Urban Power USA Inc. is committed to promoting low cost environmentally friendly wind generated electricity to all people.

Urban Power USA won runner up in the "Next World Changing Idea" contest in energy by Scientific American Magazine. You will find us in the December 2010 Issue or [click here to see a video](#) about our company and how our wind turbines were invented (ours is the 3rd segment down).



Please [click here](#) to see the wind turbines currently available as well as what is still in the design phase.

For more information on investing, purchasing our wind turbines and/or becoming a distributor, please be in touch with us through our [Contact Us page!](#)

## Urban Power USA Inc.

### Urban Power USA

**Address:** 180 Pleasant ST

**Town:** Easthampton, MA 01027

**Telephone:** (413) 348-8906

**Web site:** <http://www.urbanpowerusa.com/>

**Category:** [Environment](#)

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# **EXHIBIT 6**



Win a \$1000 Fly By Night Shopping Spree

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Downtown Northampton  
413-586-1464 • flybynight.com

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- Music
- Interior
- Dining
- People
- On View

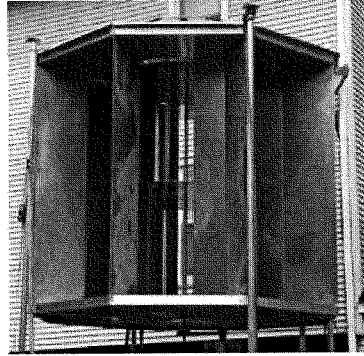
News

Winds of Change

By [redacted]

Comments (0)  
Tuesday, August 17, 2010

In keeping with one of the City of Easthampton's expressed goals of remaking the former manufacturing town into a regional pioneer in renewable energy production, the municipality can now point to a local company that is working to make low-cost wind energy technology available to anyone who wants it. Urban Power USA, Inc. plans to begin the research phase of field trials for its first product, a 10-foot commercial wind turbine for smaller buildings, using a patented vertical turbine model that's easily adaptable to urban rooftops, and may eventually be made viable for residential use as well.



Mark Maynard photo

The company, located on Pleasant Street, hopes to expand its production as well as offer other, bigger versions of its product (to commercial entities that have the rooftop space to support them). Urban Power USA projects that it will add more than 100 jobs in the next two years.

In a written statement on its website, Urban Power USA sets out its goal of "promoting low cost environmentally friendly wind generated electricity to all people," saying it will "contribute a portion of corporate profit to local and regional communities for social reinvestment and share its profits with its employees."

One of its main goals is to keep power affordable. The company notes that wind power's cost per kilowatt hour is expected to be the cheapest source of energy for decades to come; Urban Power USA's most compact model (8 feet in diameter and costing about \$7,000) produces up to 2 kilowatts and can pay for itself in less than three years. Larger models (such as the UT-10) are 16 feet in diameter and can produce up to 12 kilowatts of power. The units are also capable of being wired into net metering devices that allow the power producer to sell excess electricity back to the grid.

The nascent corporation is the brainchild of turbine inventor and Easthampton resident Mark Maynard and business partners Michael Garjian and Kimela Webb. Largely founded with Maynard's retirement savings, the company is currently vying for grant money to place some of the turbines atop Easthampton city buildings, with the aid of Easthampton Mayor Michael Tautznik.

Urban Power USA is actively seeking both investors and a local business with appropriate rooftop space available to further test the potential of its products—offering to "make significant financial considerations in return for collected data and testimony of performance." The company's website also features something of a tutorial on how a group of residents in an apartment or condominium building might go about forming their own power co-op by jointly purchasing a unit, placing it on the building's rooftop and sharing the power that's produced among their various residences. For more on Urban Power USA, its products and plans for the future, visit [www.urbanpowerusa.com](http://www.urbanpowerusa.com).

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Comment:

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keyword:

search type:  search in:

AND (all keywords)  Articles

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413-665-0033

Behind the Shower Curtain

By Maureen Turner  
03/16/2012

Speaking of Bahrain

By Maureen Turner  
03/16/2012

A Super Tuesday for Green's Stein

By Maureen Turner  
03/16/2012

Imperium Watch: Can We Talk About Iran?

Shooting first and asking questions later is not a sound idea.

By Stephanie Kraft  
03/16/2012

Are You a Victim of Robosigning?

By Stephanie Kraft  
03/16/2012

Letters: What Do You Think?

This week: Post-Partum Mom "Struggling"; Cannabis Reform Needed; Nonviolent Protest Works;  
By Our Readers  
03/16/2012

Between the Lines:

Superpower in Retreat? Admirably skeptical of state power, Ron Paul is still on the wrong side of history.

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You found us!

ADVOCATE OF THE VALLEY READERS POLL 2011

Local Insurance Company

FINCK & PERRAS INSURANCE AGENCY, INC.  
InsuringYourWay.com

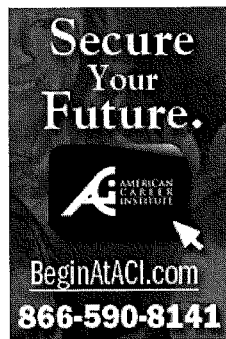
Florce - 584-1970  
Easthampton - 527-3800

FREE QUOTES  
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By Cathy Young  
03/16/2012

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Celebrating Unreasonable  
Women  
By Maureen Turner  
03/09/2012



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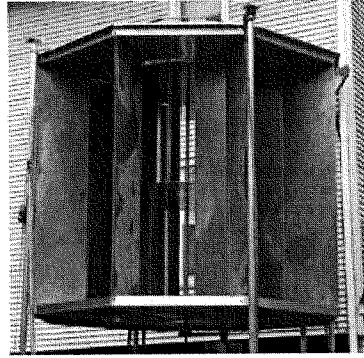
**News**

**Winds of Change**

By [REDACTED]

Comments (0)  
Tuesday, August 17, 2010

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Mark Maynard photo

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**Post a Comment**

Comment:

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search type:  search in:

AND (all keywords)  Articles

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Shooting first and asking  
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idea.

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Robosigning?  
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Letters: What Do You Think?  
This week: Post-Partum Mom  
"Struggling"; Cannabis Reform  
Needed; Nonviolent Protest  
Works;  
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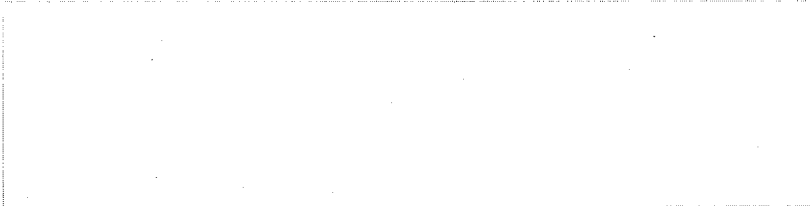
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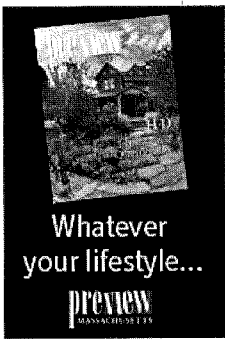
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