

Delete the current language of 950 CMR §14.402(A)(8) and replace it with the following:

(A)(8) The Secretary specifies that a security listed or approved for listing upon notice of issuance on the following exchanges or markets qualifies for the exemption provided under M.G.L. c.110A, §402(a)(8): the New York Stock Exchange; the NYSE American LLC; National Market System of the Nasdaq Stock Market (“Nasdaq/NGM”); Tier I of the NYSE Arca, Inc.; Tier I of the NASDAQ PHLX LLC; the Chicago Board Options Exchange, Incorporated; the Nasdaq Capital Market; Tier I and Tier II of Bats BZX Exchange, Inc.; Investors Exchange LLC.; as well as options listed on Nasdaq ISE, LLC.